

O I P E  
SEP 24 2002  
PATENT & TRADEMARK  
OFFICE

# AMENDMENT TRANSMITTAL LETTER (Small Entity)

Applicant(s): Sorrentino and Schuetz

Docket No.

SJ-0015

Serial No.

09/866,866

Filing Date

May 29, 2001

Examiner

Qian J. Li

Group Art Unit

1632

Invention: METHOD OF IDENTIFYING AND/OR ISOLATING STEM CELLS AND PROGNOSING  
RESPONSIVENESS TO LEUKEMIA

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

## CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	20 -	20 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	6 -	6 =	0 x	\$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☐ No additional fee is required for amendment.
- ☒ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1619  
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

*Jane Massey Licata*

Signature

Dated: September 20, 2002

Jane Massey Licata  
Reg. No. 32,257  
Licata & Tyrrell P.C.  
66 E. Main Street  
Marlton, NJ 08053  
Tel: 856-810-1515  
Fax: 856-810-1454

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

SJ-0015



09-27-02

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No. EV225670673US

Date of Deposit September 20, 2002

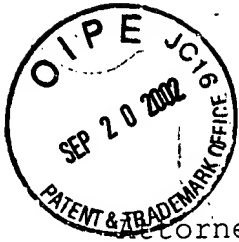
RECEIVED  
SEP 24 2002  
TECH CENTER 1600/2500  
G/P

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R 1.10 on the date indicated above and is addressed to the "Box Non-Fee Amendment, P.O. Box 2327", U.S. Patent & Trademark Office, Arlington, VA 22202.

- 1) Amendment Transmittal Letter (Small Entity) (2 copies);
- 2) Response to Restriction Requirement; and
- 3) Return Post Card.

*Jane Massey Licata*

JANE MASSEY LICATA



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2900

SEP 24 2002

RECEIVED

#11  
old  
9/25/02

Attorney Docket No.: SJ-0015

Inventors: Sorrentino and Schuetz

Serial No.: 09/866,866

Filing Date: May 29, 2001

Examiner: Qian J. Li

Group Art Unit: 1632

Title: Method of Identifying and/or Isolating  
Stem Cells and Prognosing Responsiveness  
to Leukemia

"Express Mail" Label No. EV225670673US  
Date of Deposit September 20, 2002

I hereby certify that this paper is being deposited  
with the United States Postal Service "Express Mail  
Post Office to Addressee" service under 37 CFR 1.10  
on the date indicated above and is addressed to the  
U.S. Patent & Trademark Office, Box Non-Fee  
Amendment, P.O. Box 2327, Arlington, VA 22202.

By Jane Massey Licata  
Typed Name: Jane Massey Licata, Reg. No. 32,257

U.S. Patent & Trademark Office  
Box Non-Fee Amendment, P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

RESPONSE TO RESTRICTION REQUIREMENT

This is a reply to the Restriction Requirement mailed August  
27, 2002 setting a one month period for response. Please enter the  
following amendments and remarks into the record.